

Quasi-thermal noise in the heliosphere

N. Meyer-Vernet & the QTN team(s)

International Workshop on solar, heliospheric and magnetospheric radioastronomy:

The legacy of Jean-Louis Steinberg (1922-2016)
6-10 Nov 2017, Observatoire de Paris, Meudon



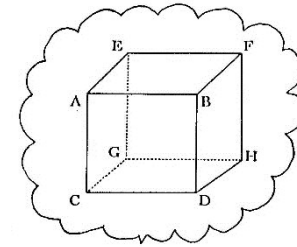
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www.lesia.obspm.fr/perso/nicole-meyer/

- What is quasi-thermal noise (QTN) spectroscopy?

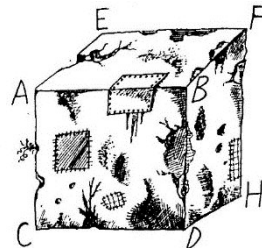
- Theoretical bases: plasma/antenna

- Complications

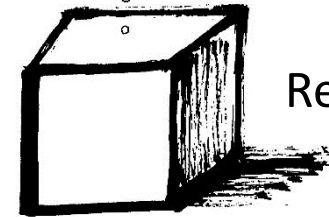
- Further complications



Theoretician dream

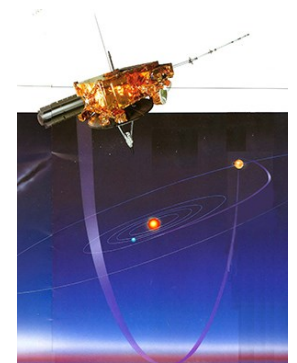
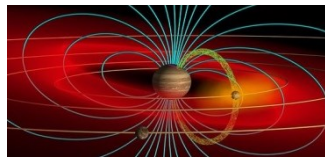


Reality of the space scientist



Real life

- Applications in various heliospheric environments



- The future



- What is quasi-thermal noise (QTN) spectroscopy?

The beginning

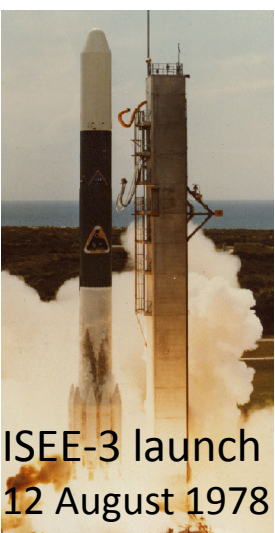
Meyer-Vernet

On Natural Noises Detected by Antennas in Plasmas *J. Geophys. Res.* (1979)

Received August 2, 1978

A noise that might serve to use a radio receiver as an *in situ* plasma sensor and should explain previous measurements

February 1979: Paper rejected because the theory was too simple and there was no detailed application to a geophysical plasma



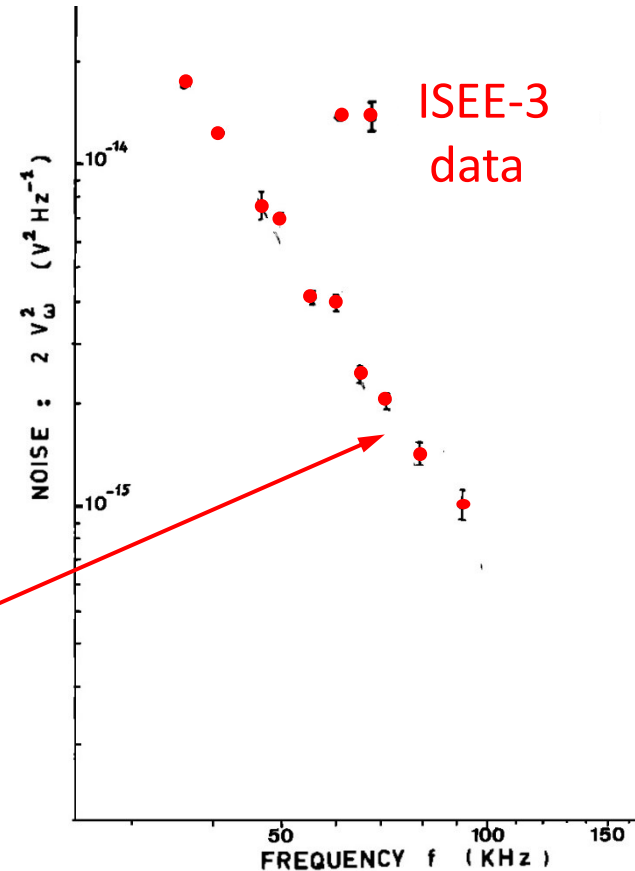
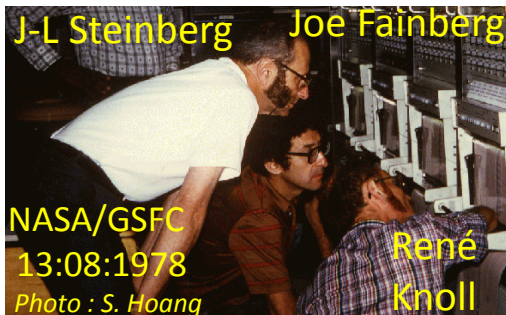
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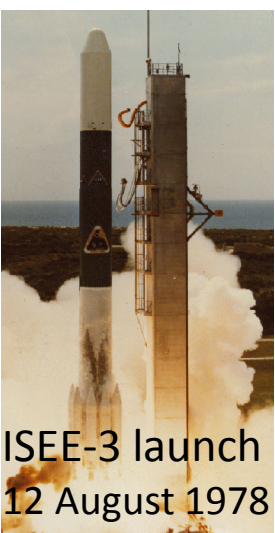
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What is the origin of this noise?



ISEE-3 launch
12 August 1978

- What is quasi-thermal noise (QTN) spectroscopy?

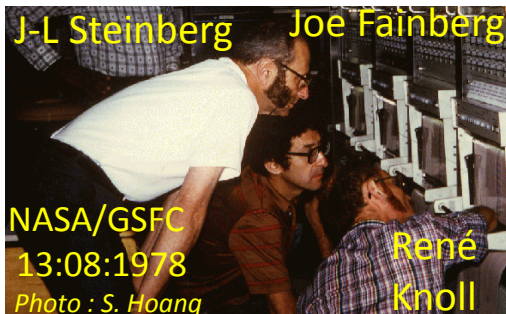
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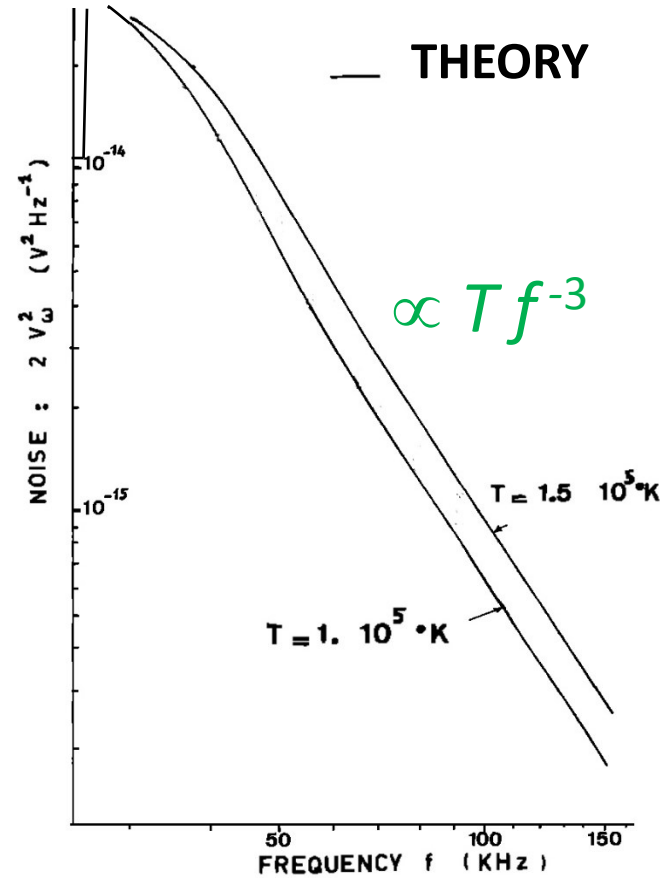


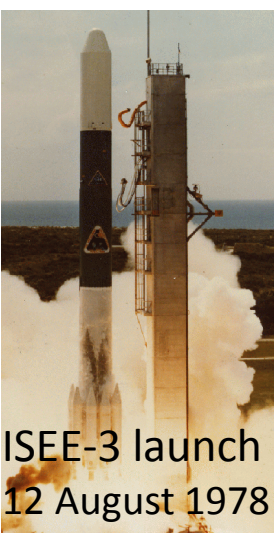
J-L Steinberg Joe Fainberg

NASA/GSFC
13:08:1978
Photo : S. Hoang René Knoll



Observatoire (Meudon) Bât. 16





ISEE-3 launch
12 August 1978

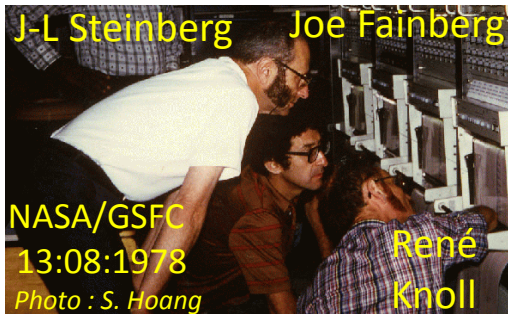
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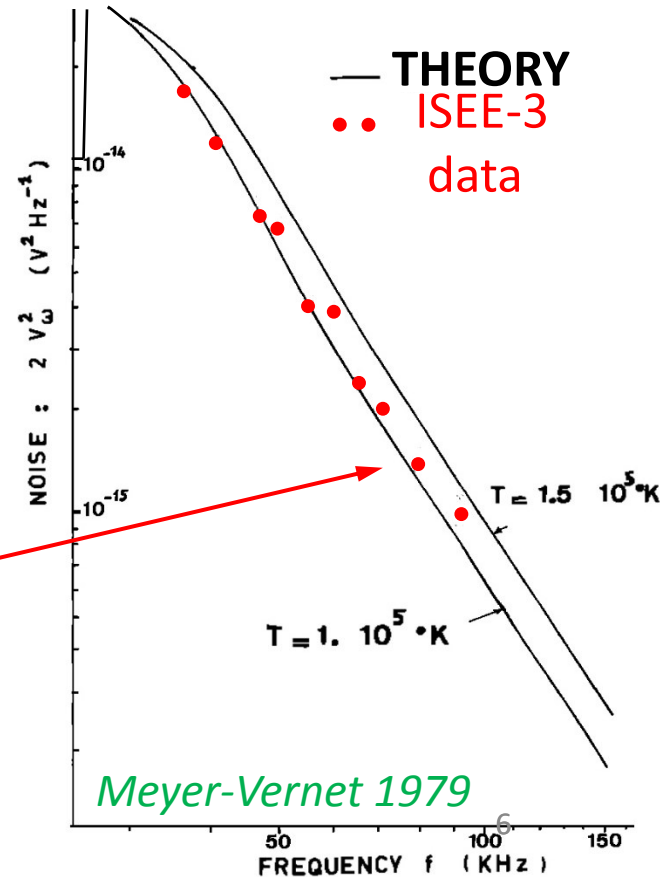


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NASA/GSFC
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Observatoire (Meudon) Bât. 16

revised March 27, 1979
accepted March 28, 1979



QTN spectroscopy was born

N. M-V 1979, Hoang et al 1980, Couturier et al 1981

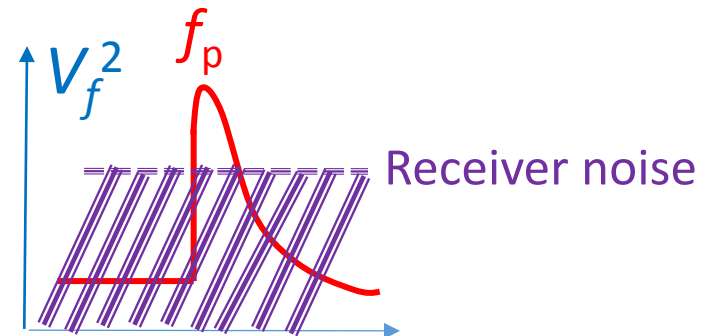
It is not surprising that in 1978 the referee was doubtful about QTN use

- Context:**
- Plasma **theory** dealt mainly with instabilities & turbulence
 - Fashionable plasma wave **instruments:** relaxation sounder & quadrupolar probe.

Common view: *“the passive mode ... is of relatively little interest”* (XXX 1977)

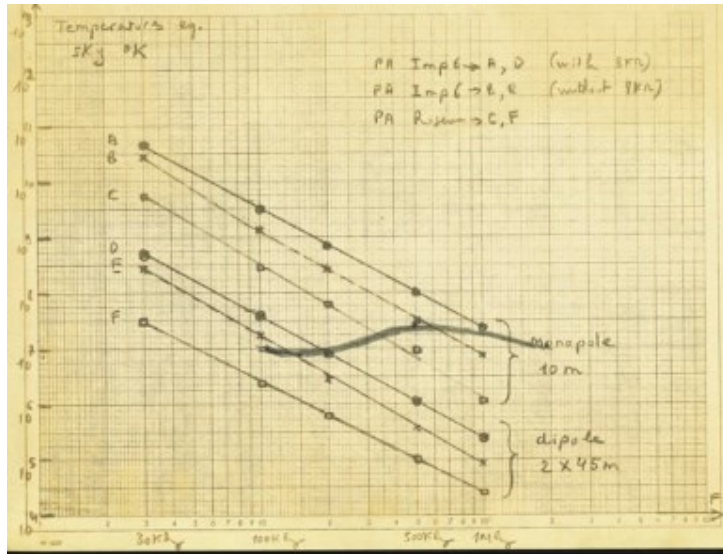
Despite several previous publications on plasma fluctuations & antennas in plasmas: Rostoker 1961, Balmain 1965, Sitenko 1967, Fejer & Kan 1969, Schiff 1970

- QTN mainly **below receiver noise**
⇒ plasma frequency peak attributed to plasma instabilities



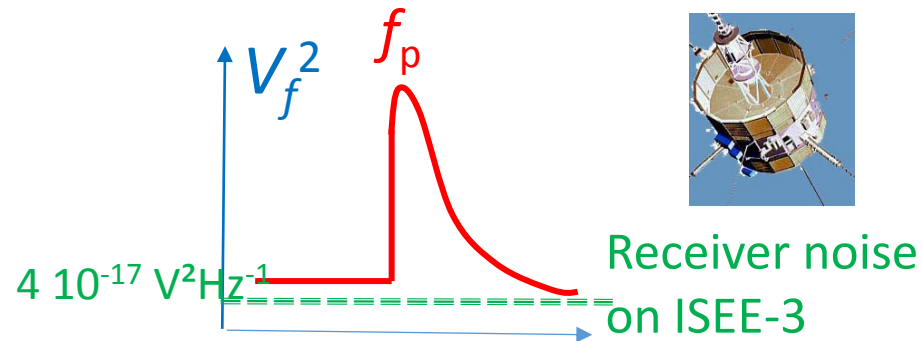
QTN spectroscopy was born

Receiver noise on ISEE-3: $4 \cdot 10^{-17} \text{ V}^2\text{Hz}^{-1}$



from Gérard Epstein's 1974 notes

- QTN mainly **below receiver noise**

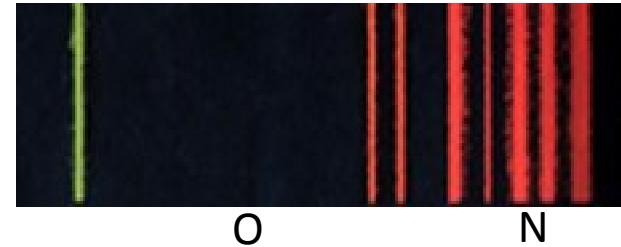


Except 3D radio mapping on ISEE-3 (Observatoire de Paris, NASA/GSFC):
The most sensitive radio receiver of these days (*Knoll et al 1978*)

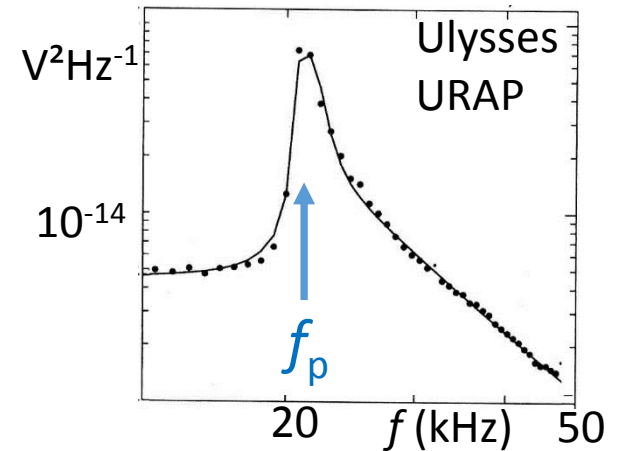
- **What is quasi-thermal noise (QTN) spectroscopy?**

The art of transforming a nuisance into a powerful sensor of space plasmas

Contrary to usual spectroscopy, based on **EM waves**, producing a **distant** diagnostic



QTN based on **electrostatic plasma waves**
 \Rightarrow produces an *in situ* diagnostic



Frequency of the line \Rightarrow **electron density**

$$f_p \text{ (kHz)} = 9 n^{1/2}_{(\text{cm}^{-3})} \Rightarrow \text{radiofrequency range}$$

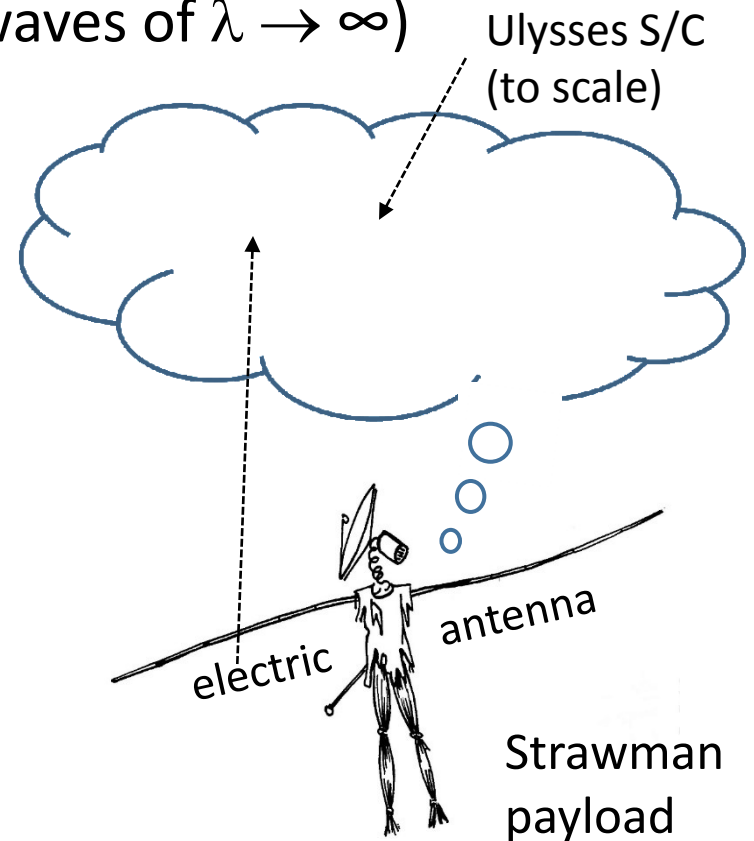
Receiver noise too small to be shown on the figure

Shape of the line \Rightarrow **electron temperature & other properties**



Electric antenna Sensitive radio receiver

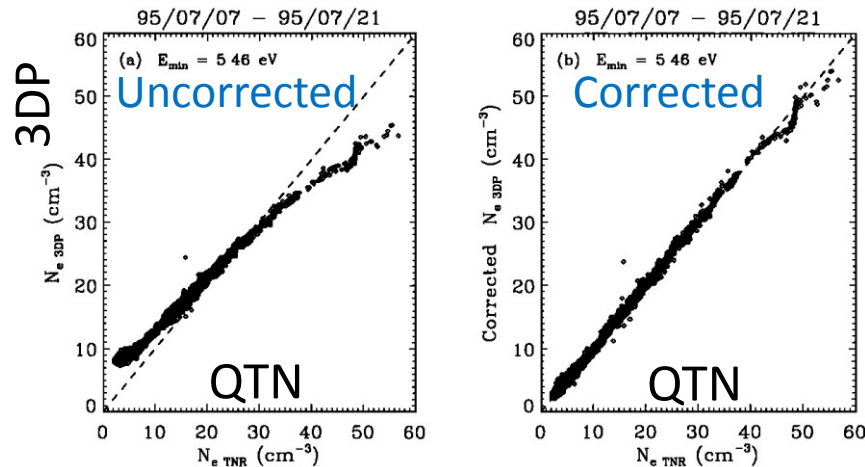
- **Senses a large plasma volume** (via waves of $\lambda \rightarrow \infty$)
 - Equivalent to detector of large cross-section
 - Immune to spacecraft perturbations (charging effects, photoelectrons ...)
- **Passive** \Rightarrow does not perturb the medium



➔ Complementary to particle detectors

Complementary to particle detectors

- Serves to calibrate them (*Maksimovic et al 1995, Issautier et al. 2001, Salem et al. 2001, 2016*)



Wind electron density data

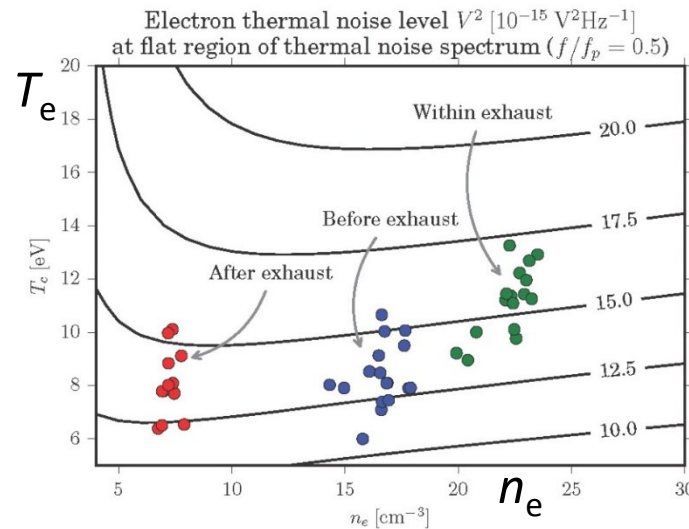
Salem et al. 2001

& talk by Salem et al.

- High rate measurements

Electron heating at solar wind reconnection exhausts of short duration

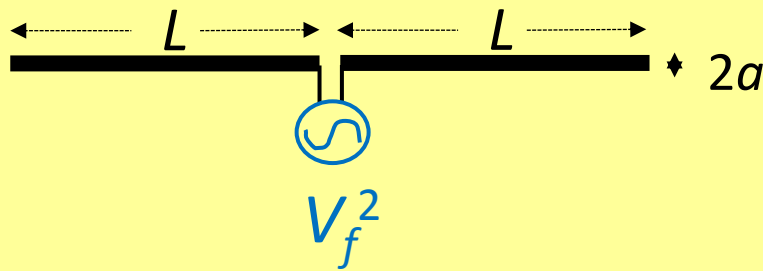
Pulupa et al. 2014, 2015, 2016



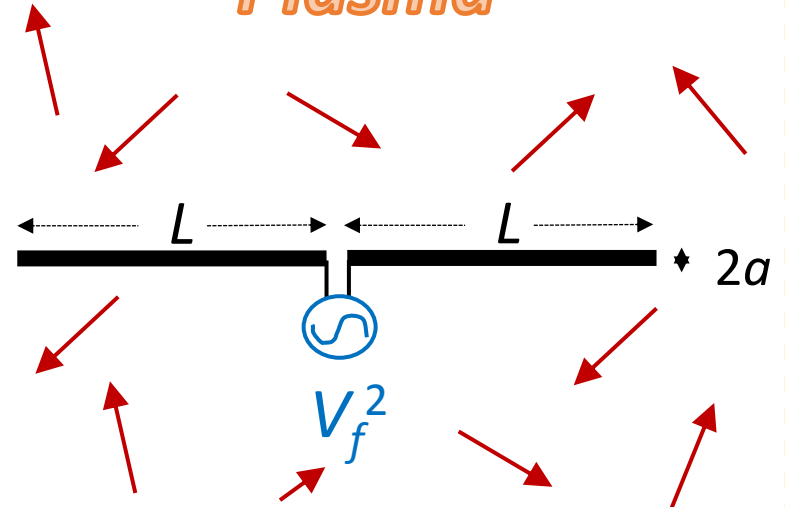
Isolevels of QTN
 $\propto T^{1/2}$

- Theoretical bases: plasma/antenna

Blackbody at T



Plasma



Antenna in blackbody radiation at T

Nyquist: $V_f^2 = 4 k_B T R_{EM}$

Antenna in plasma at T

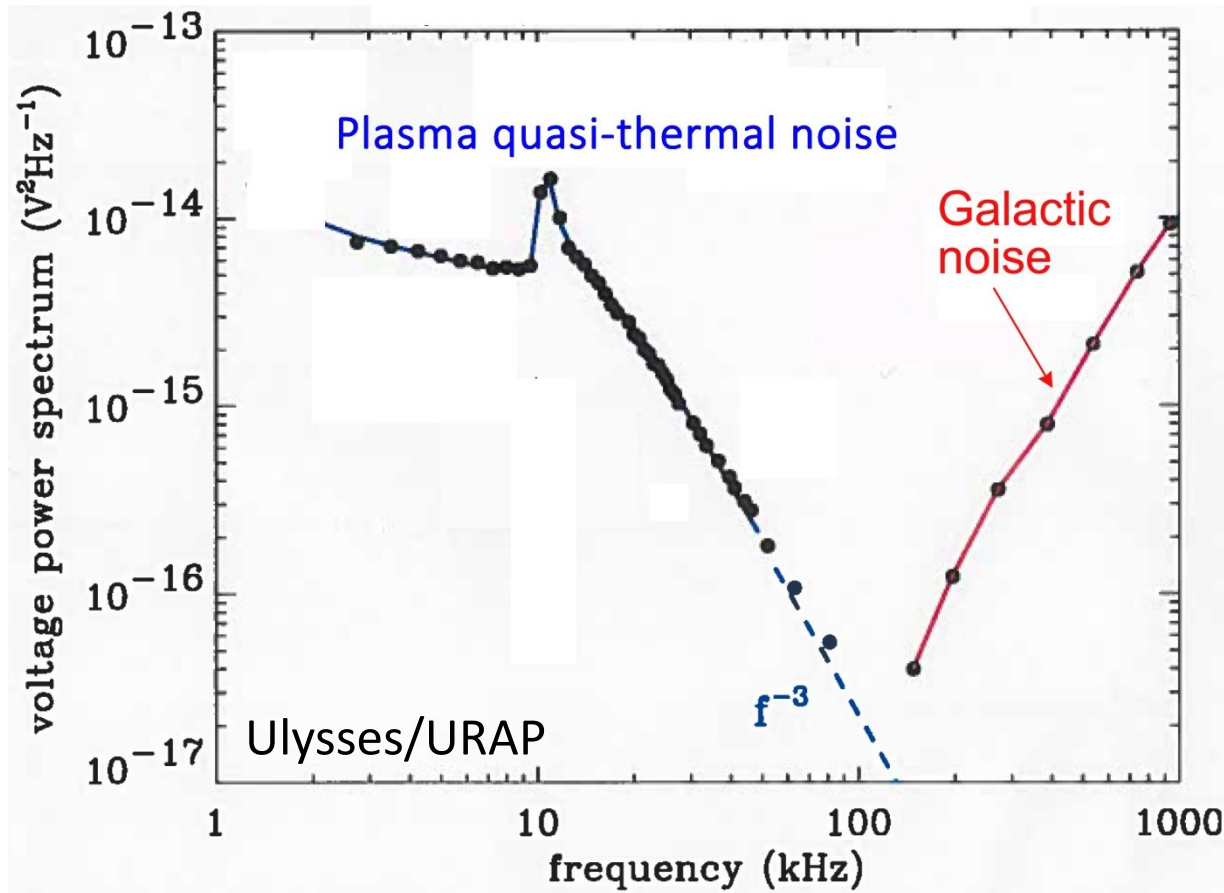
$V_f^2 = 4 k_B T R_P$

Just below f_p , $R_P/R_{EM} = 10^{-2} (c/fL)^3 \gg 1 \Rightarrow$ **Plasma thermal noise dominates**

- Theoretical bases: **plasma**/antenna

Plasma thermal noise dominates

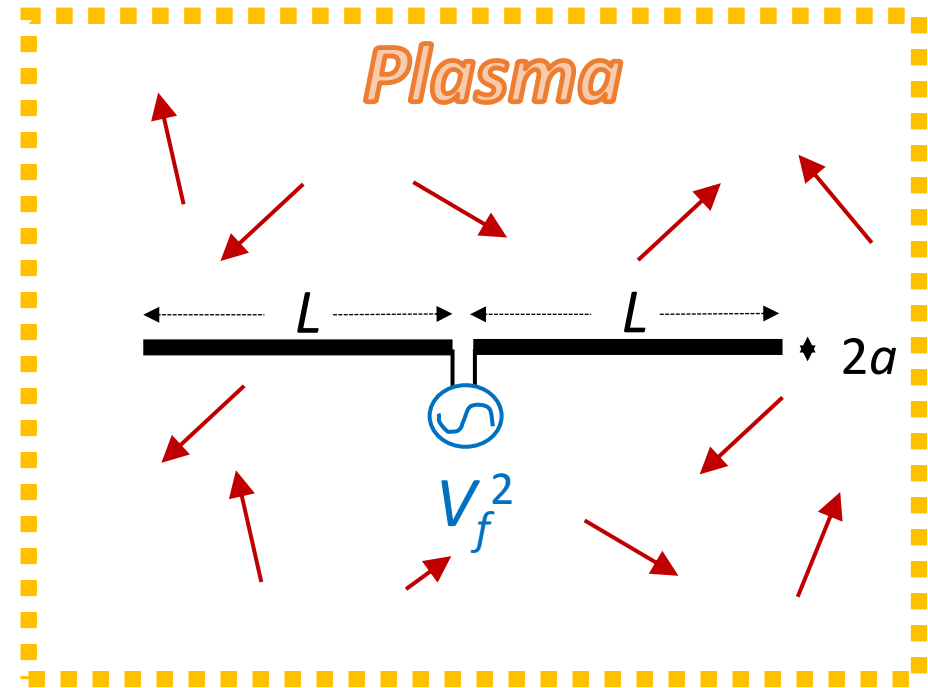
Except at very high frequencies



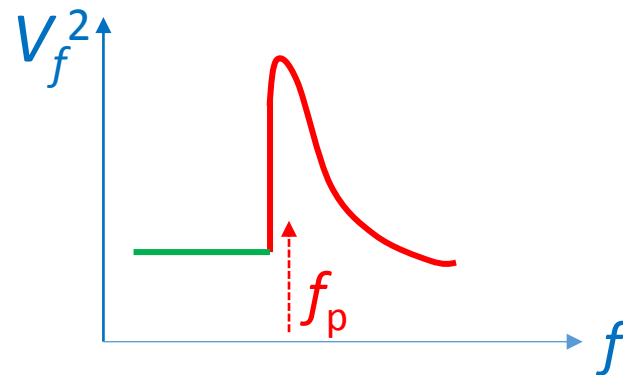
N. M-V, Hoang, Issautier, Moncuquet, Marcos 2001

- Theoretical bases: **plasma**/antenna

- QTN produced by motion of charged particles
- Electrostatic field \neq Coulomb because plasma particles are dressed

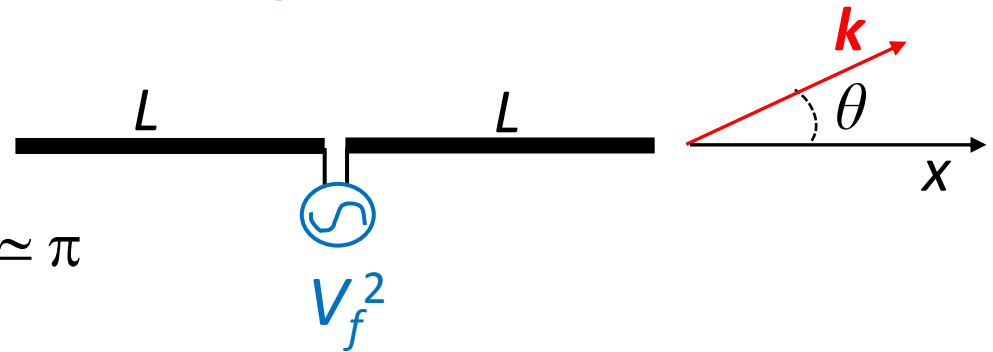


- $f < f_p$: electrons passing within Debye sheath ➡
- $f \gtrsim f_p$: Langmuir waves ➡



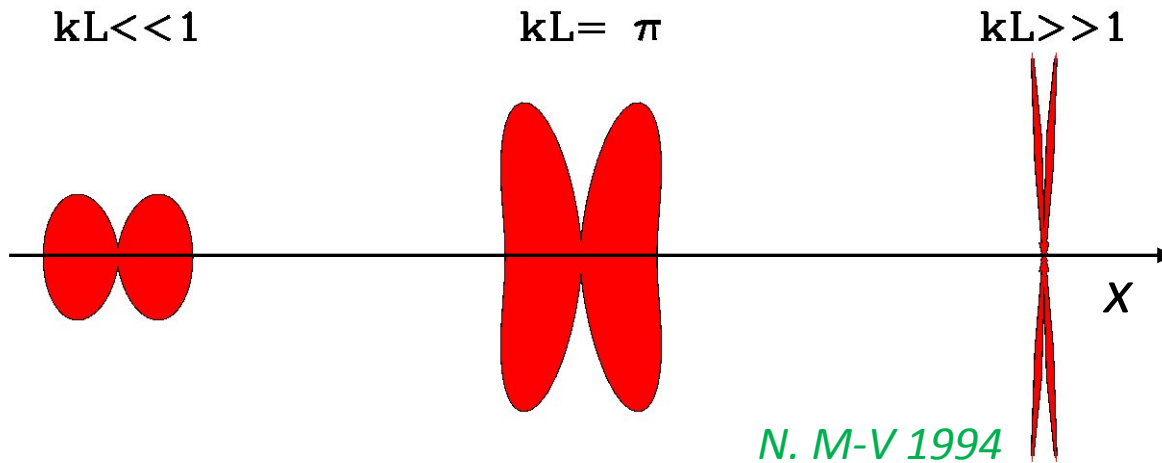
$$k_L \simeq (1/3^{1/2} L_D) (1 - f_p^2/f^2)^{1/2}$$

- Theoretical bases: plasma/antenna



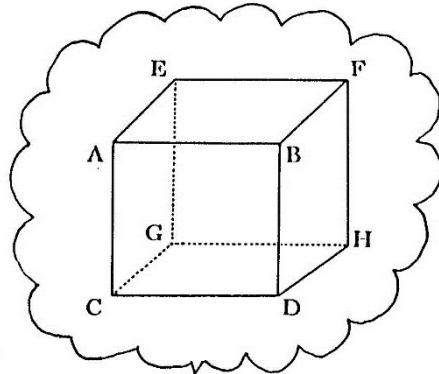
✓ Maximum sensitivity: $kL \simeq \pi$
 $\Rightarrow L \simeq \pi L_D$

✓ $\mathbf{E} \parallel \mathbf{k}$ making angle θ with antenna: max. sensitivity $kL \cos \theta \simeq \pi$
 \Rightarrow if $kL \gg 1$ antenna favors $\theta \simeq \pi/2$

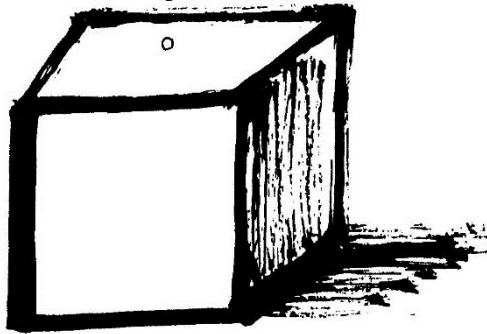


Long antenna
sensitive to k
perpendicular
to its direction

- **Complications**



Theoretician
dream

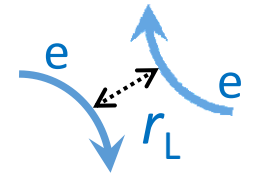


Real life



Drawings by Saul **STEINBERG**

- Complications

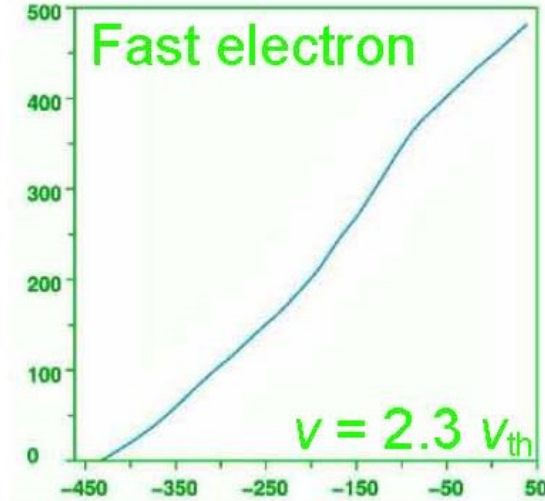
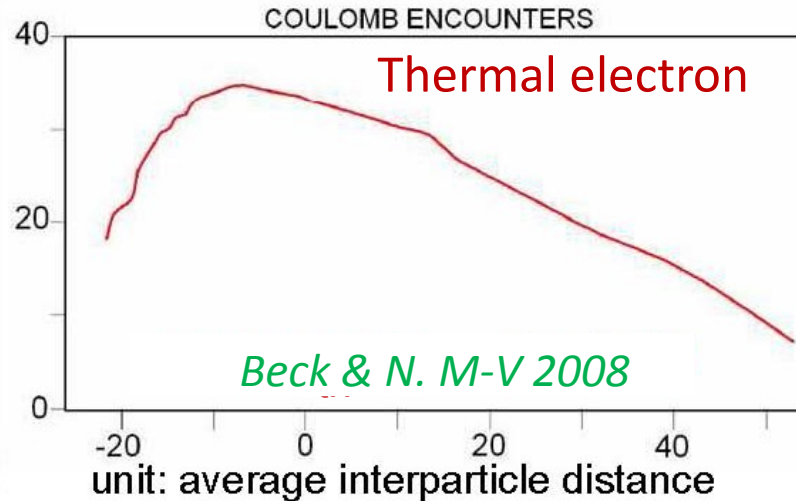
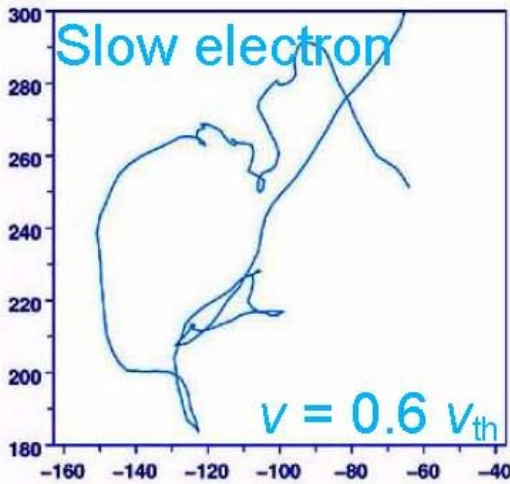


- **Space plasmas are NOT in thermal equilibrium!**

Fundamental reason: Coulomb cross-section $\propto r_L^2 \Rightarrow$ free path \propto energy squared

Coulomb energy = kinetic energy at distance $r_L = e/(4\pi\epsilon_0 T_{ev})$

Trajectory of an electron (N-body simulation)



Fast particles are collisionless, even when most particles (core of distribution) are collisional

\Rightarrow **Velocity distributions have suprathermal tails**

- *Space plasmas are NOT in thermal equilibrium!*

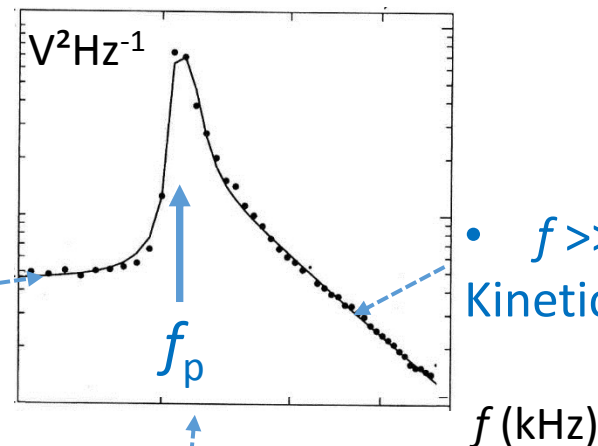
What kind of « temperature » does QTN spectroscopy measure?

Define « temperatures » from moments of distribution $T_p \propto \langle v^p \rangle^{2/p}$

- **kinetic temperature** $T_2 \propto \langle v^2 \rangle$
- **Debye length** $L_D^2 \propto T_{-2} \propto 1/\langle v^{-2} \rangle$ depends on core of distribution

- $f < f_p$: QTN determined by electrons passing closer than $L_D \Rightarrow$ low-energy electrons

$$V_f^2 \propto T_{-2} / T_{-1}^{1/2} \simeq T_{\text{core}}^{1/2}$$



- $f \gg f_p$: $V_f^2 \propto T_2$
Kinetic temperature

- Particles of speed v interact with waves of phase speed $\omega/k = v$

$$\omega/k \rightarrow \infty \text{ for } f \rightarrow f_p$$

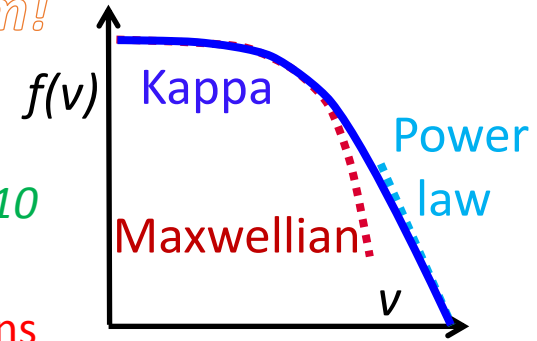


Peak shape determined by **suprathermal electrons**

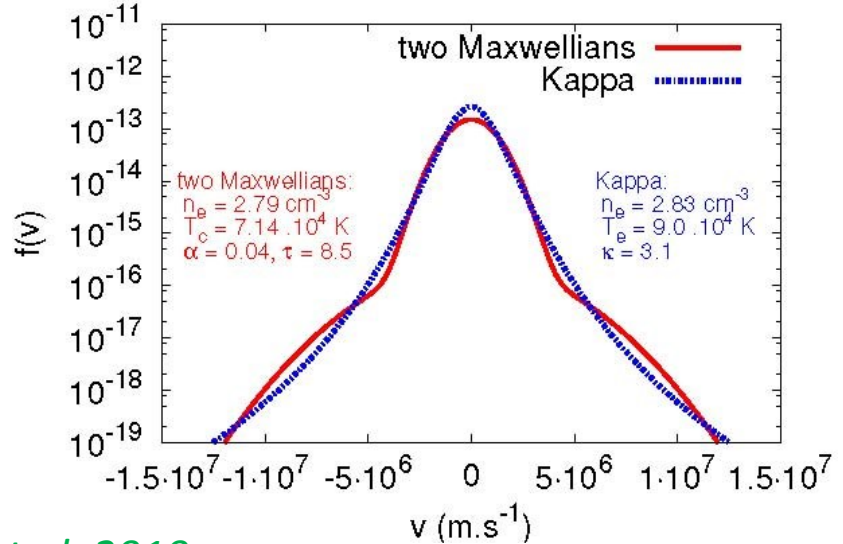
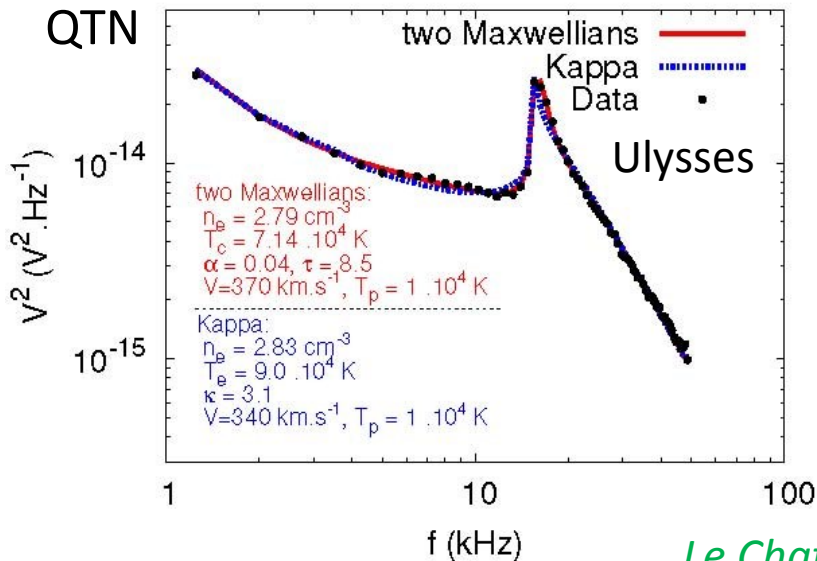
- Space plasmas are NOT in thermal equilibrium!

QTN with a Kappa electron distribution

Chateau & N M-V 1991 Zouganelis 2008 Le Chat et al. 2009, 2010



Comparison between Kappa and sum of Maxwellians



Le Chat et al. 2010

n and T agree within a few %

If $2 < L/L_D < 7$ QTN plateau $\propto T_{-2}/T_{-1}^{1/2}$

N. M-V et al. 2017

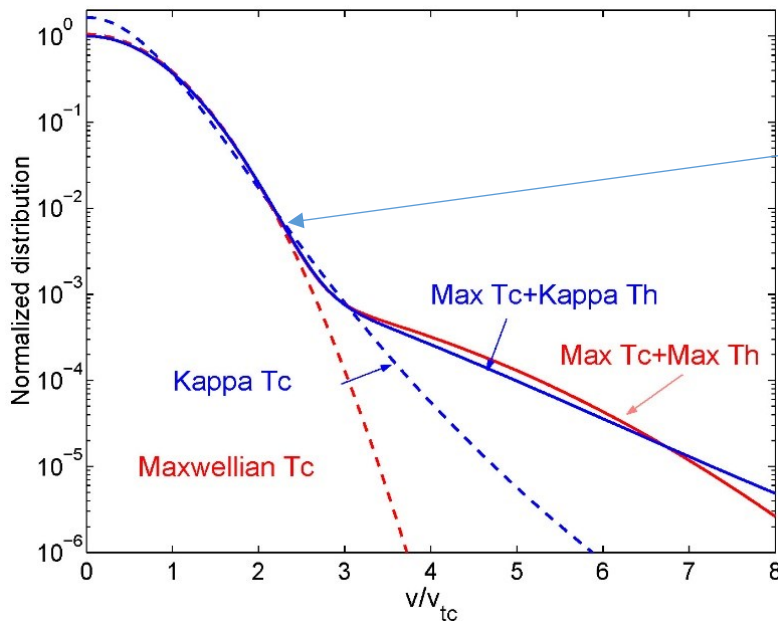
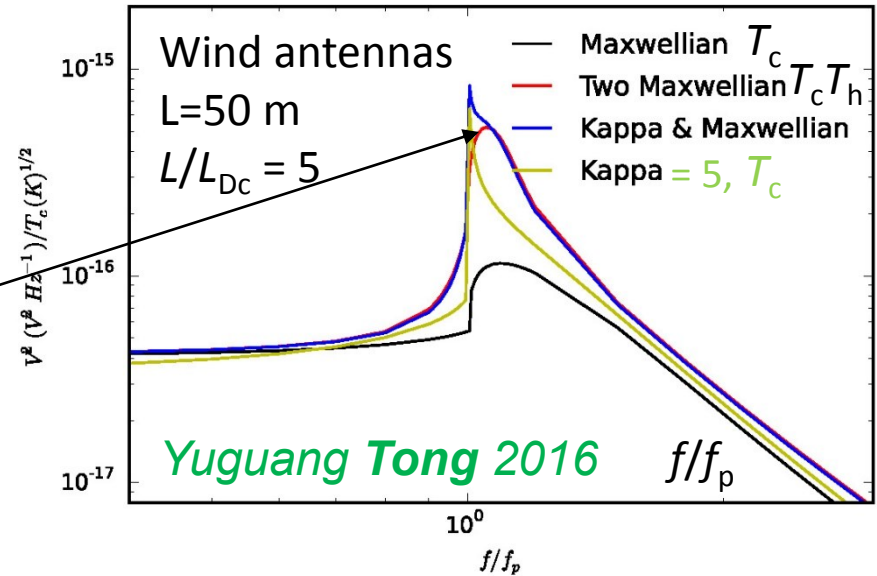
$\simeq T_c^{1/2}$ for two Maxwellians

$\simeq 0.96 T_{-2}^{1/2}$ for Kappa

- Space plasmas are NOT in thermal equilibrium!

QTN with a cold Maxwellian
+ a hot Kappa

same QTN as cold + hot
maxwellian if $f > 1.06 f_p$



Because both distributions are similar at energies $< (3k_B T/4) f_p / (f - f_p)$ which determine the QTN

- *Space plasmas are NOT in thermal equilibrium!*

Fine structure of the f_p peak reveals high-energy electrons

- Could QTN spectroscopy be used to measure super-halo electrons in the solar wind?

Energy $E \gtrsim 2$ keV



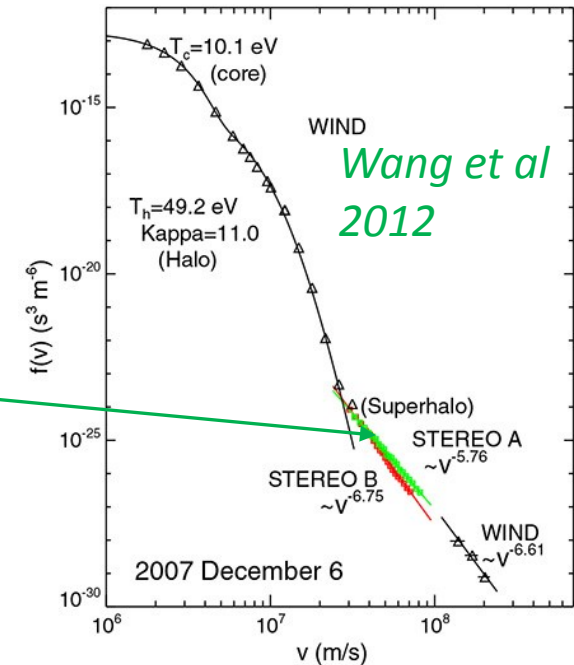
- Revealed at frequencies $(f - f_p)/f_p \simeq (3/4) T/E$

⇒ between f_p and $1.004 f_p$ if $T \simeq 10$ eV

- QTN power $V_f^2 \simeq 10^{-12} \text{ V}^2 \text{ Hz}^{-1}$ with frequency resolution $\simeq 4 \cdot 10^{-3}$

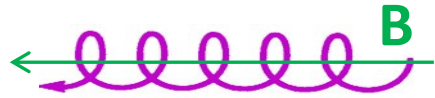
N. M-V, Issautier, Moncuquet. 2017

Might be erroneously interpreted as due to a plasma instability



- Complications

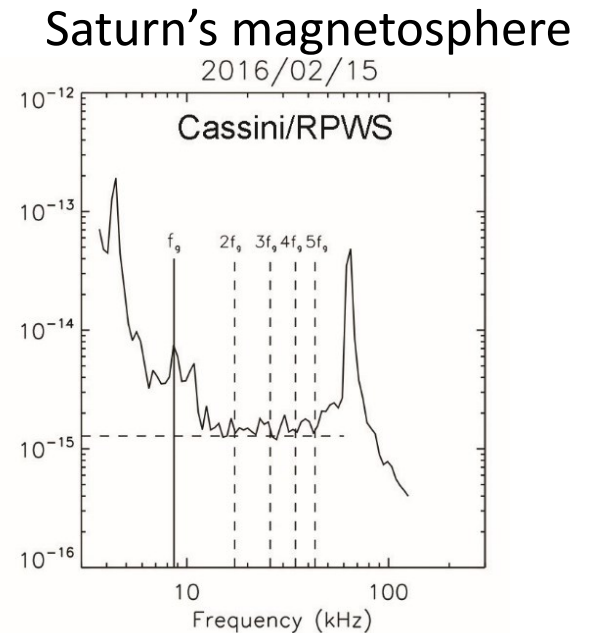
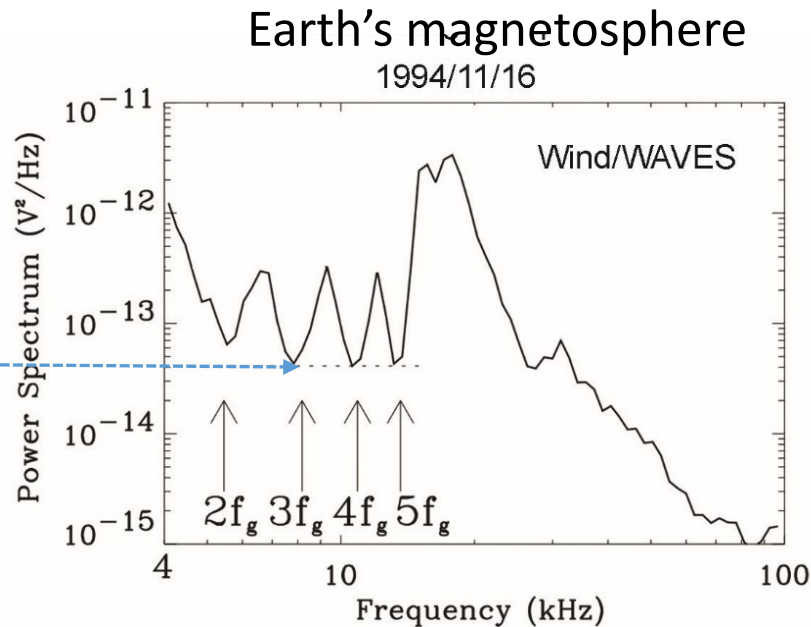
- **Magnetic field**



- ✓ Changes resonance frequencies
- ✓ Bernstein waves ...

- At gyroharmonics: QTN unchanged
- Between gyroharmonics: maximum QTN reveals suprathermal electrons

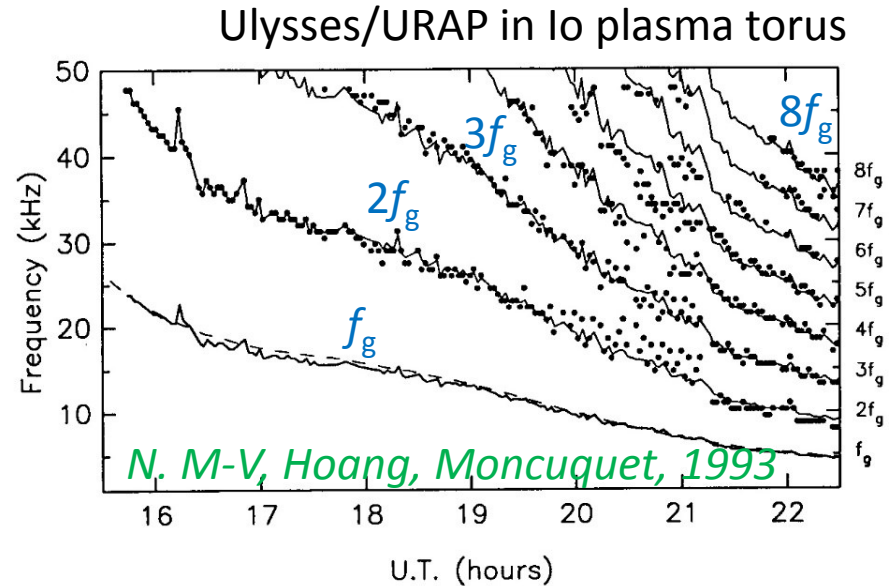
Minima at nf_g



N. M-V, Issautier, Moncuquet 2017

- *Magnetic field*

Frequencies of QTN minima (nf_g) reveal magnetic field →



Agrees with in board magnetometer within 2%

QTN spectroscopy can serve as a cheap magnetometer!

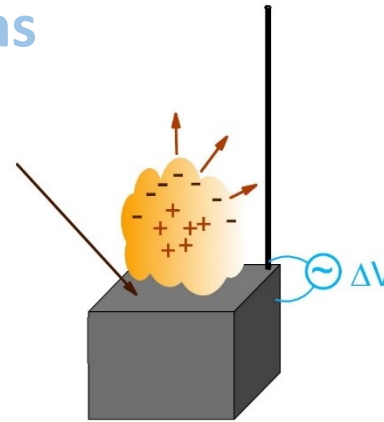
- Complications

- **Space plasmas may be dusty**

- ✓ High-speed impacts

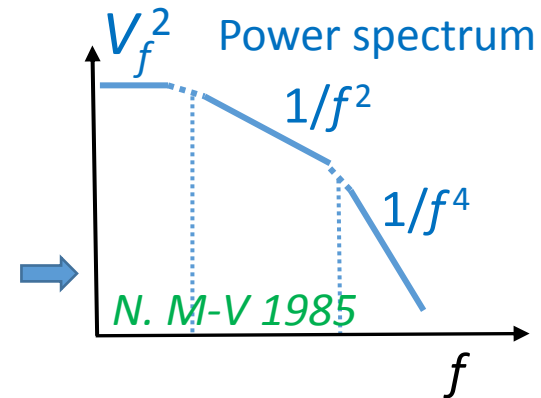
⇒ Voltage pulse δv

- Micrometer grain at $v = 10 \text{ km/s}$ ⇒ $\delta v = Q/C = 10 \text{ mV}$
- Nanometer grain at $v = 300 \text{ km/s}$ ⇒ *same amplitude*

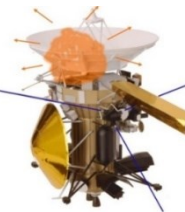
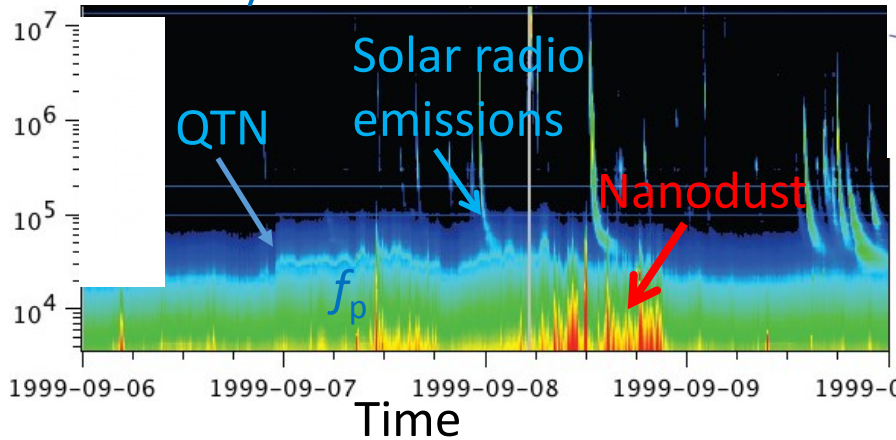


Impact ionization

$$Q \propto m_{\text{dust}} v^{3-4}$$

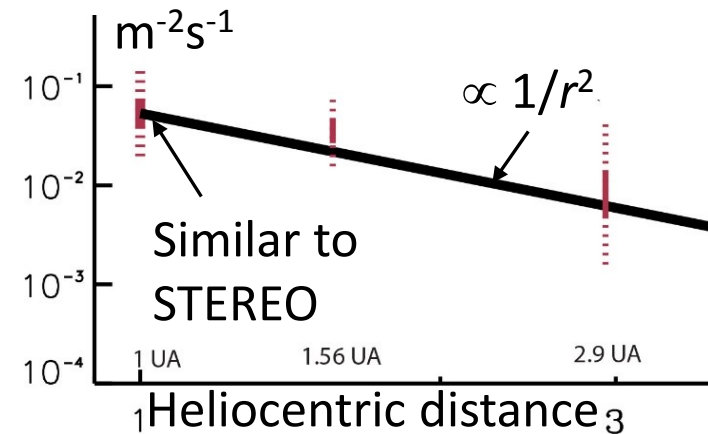


Cassini/RPWS in the solar wind



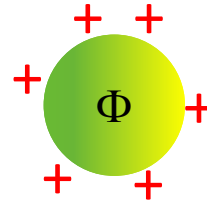
Schippers et al. 2014, 2015

Average nanodust flux (> 10 nm) between 1 and 3 AU:



◦ *Space plasmas may be dusty*

✓ Low-speed impacts

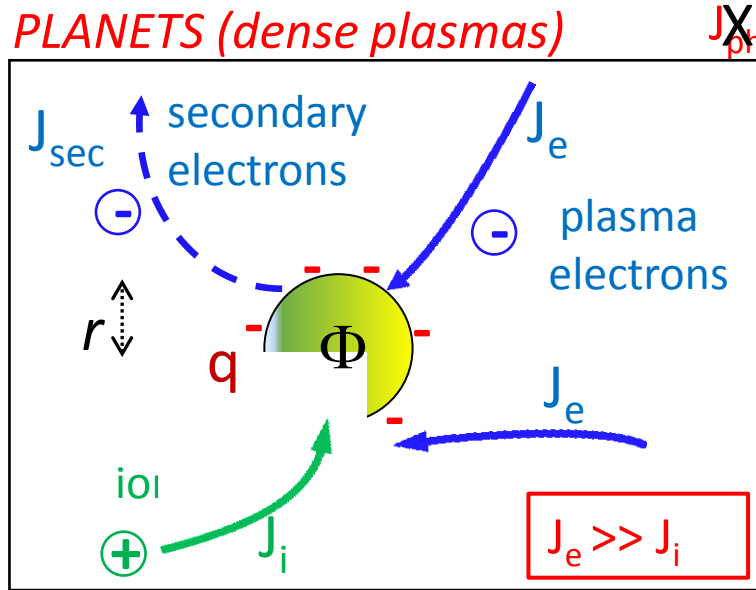


Dust grains carry an electric charge

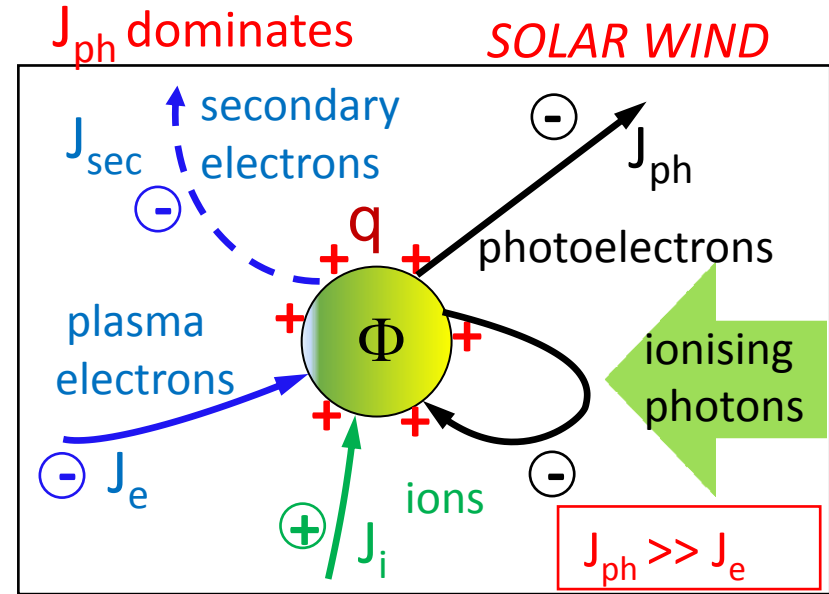


QTN of moving charged dust grains is dominant

Basics of electric charging in space



Charging governed by **incoming plasma electrons** until grain **negative** charge repels them sufficiently to balance other currents



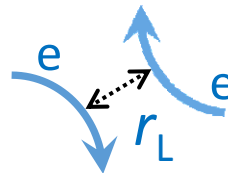
Charging governed by **escaping photoelectrons** until grain **positive** charge binds them sufficiently to balance plasma currents

Grain of radius r carries **electric charge** $q = 4\pi\epsilon_0 r\Phi$ ← a few T_{ev} Temperature of main charging process

$\Rightarrow |q/e| = \text{a few } r/r_L$

capacitance of sphere of radius r

Landau radius = $e/(4\pi\epsilon_0 T_{ev})$



If $r > r_L$

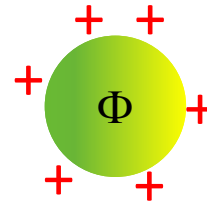
◦ *Space plasmas may be dusty*

✓ Low-speed impacts

Impact ionisation
↓

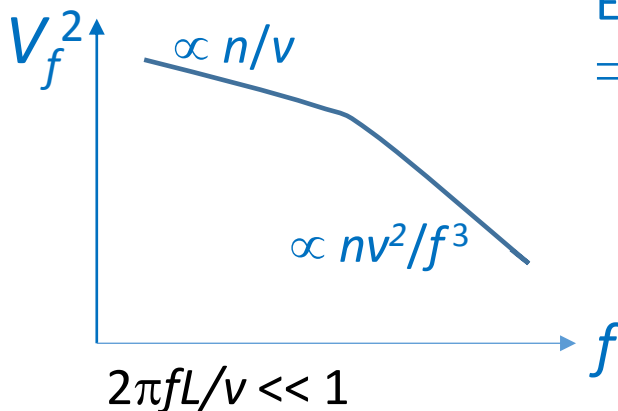
$$\Rightarrow q/Q \simeq 0.05 r_{\mu\text{m}}^{-2} v_{\text{km/s}}^{-3.5} T_{\text{eV}}$$

>> 1 for low-speed nanodust

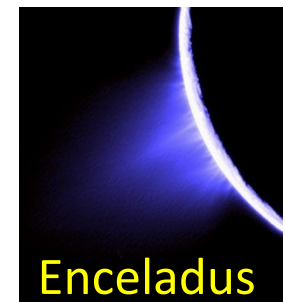


Grain of radius r carries electric charge q

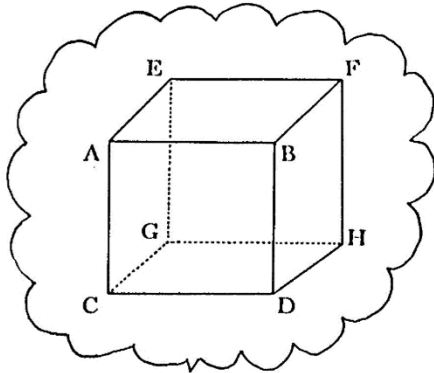
➔ QTN of moving charged dust grains



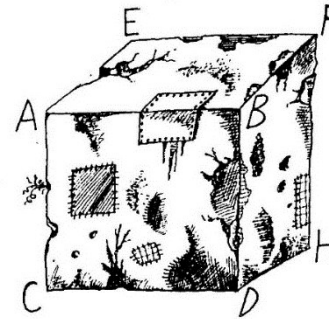
Example: $n = 10^3 \text{ cm}^{-3}$ nanograins (10 nm) at 15 km/s
 $\Rightarrow V_f^2 \simeq 10^{-10} \text{ V}^2\text{Hz}^{-1}$ near 1 kHz



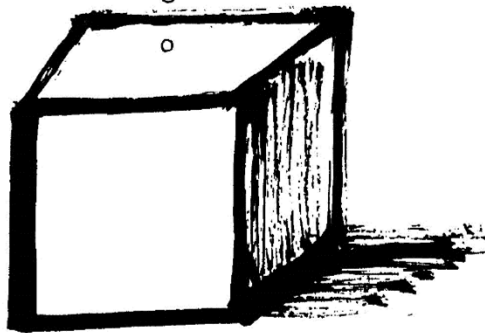
- Further complications



Theoretician
dream



The reality of the
space scientist



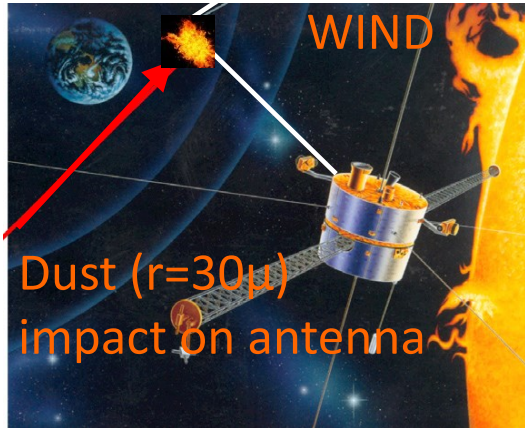
Real life



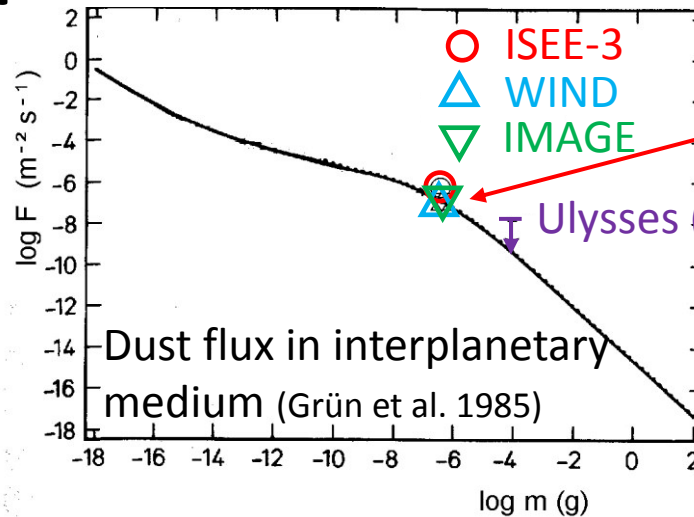
Drawings by *Saul* STEINBERG

✓ Unequal antenna booms

Antenna broken by dust impact



Dust impacts on thin antennas ($r \approx 0.2$ mm)



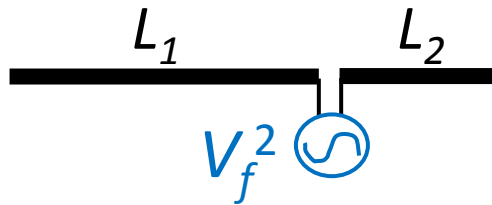
Dust flux deduced from the rate of antenna break-up

Ulysses (5 mm tape antenna)

N. M-V 2001

Agrees with Grün's model

⇒ Use thin antenna break-ups as dust detector (albeit expensive) !



$L_1 \neq L_2$ changes antenna response

- EM waves: if $L_1, L_2 \ll \lambda_{EM} \Rightarrow$ Power depends on $(L_1 + L_2)$
- QTN : if $L_1, L_2 \gg L_D \Rightarrow$ Power depends on $L_1 L_2 / (L_1 + L_2)$

➔ Measuring EM waves & QTN reveals L_1 and L_2

✓ Fat antennas

DC- current \Rightarrow shot noise

Plasma electrons impacting antenna

$$\Rightarrow \text{voltage pulse } \delta V = e/C \Rightarrow V_f^2 \propto S e^2/C^2 f^2$$

← Antenna surface

Shot noise generally small with thin wire antennas

Beware!



✓ Biased antennas

Solar wind: photoelectron current ~ 20 X plasma electron current

\Rightarrow antenna floats at $\Phi > 0$ (enables current balance by reducing escaping photoelectron current)

If antenna biased to $\Phi = 0$: full photoelectron current \Rightarrow shot noise X 20 !

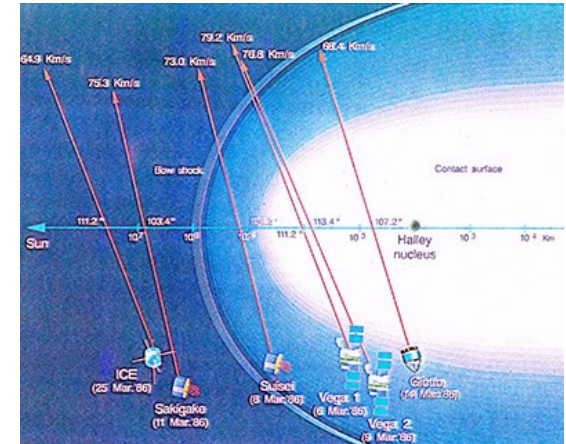
- **Applications**

- Applications: QTN in a comet's plasma tail

September 11, 1985 First encounter of a spacecraft with a comet



6 months before a fleet of spacecraft encountered Halley comet

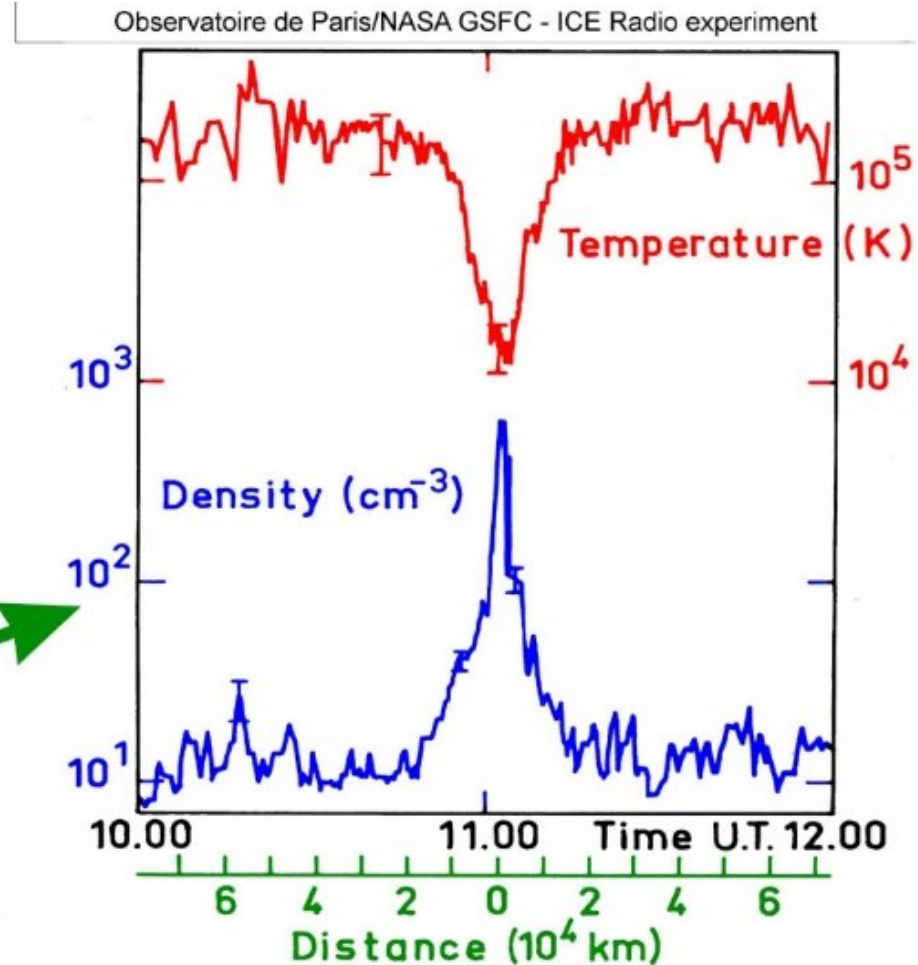
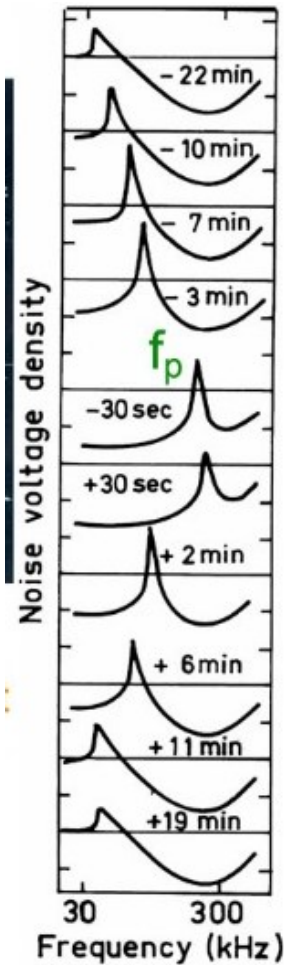


ICE crossed the plasma tail

Plasma too cold for the plasma electron experiment (Bame et al. 1986) to measure correctly the electron density and temperature within the tail

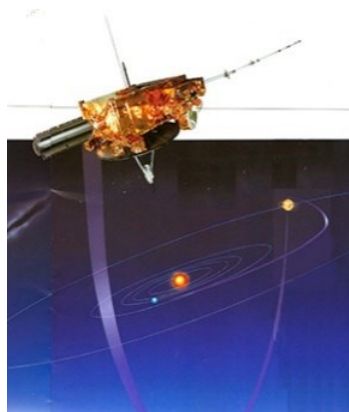
QTN spectroscopy was well adapted ($L/L_D \gg 1$)

- Applications: QTN in a comet's plasma tail

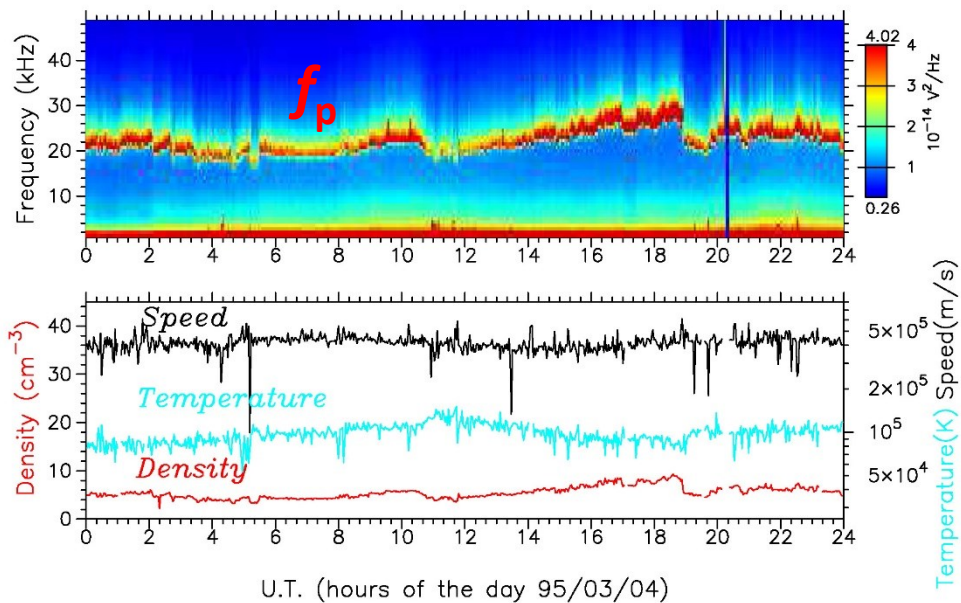


N. M-V, Couturier, Hoang, Perche, Steinberg, Fainberg, Meetre (Science, 1986)

- QTN in 3-D solar wind

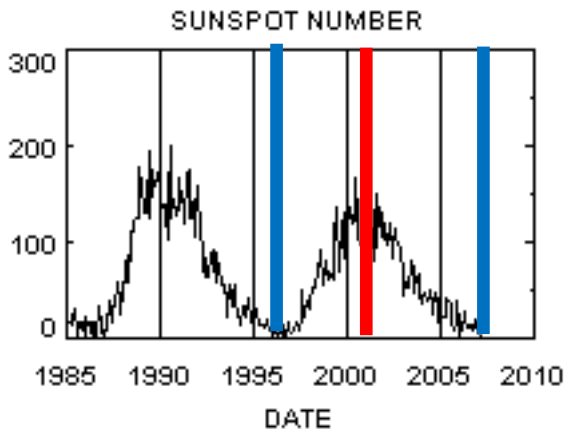


Ulysses/URAP



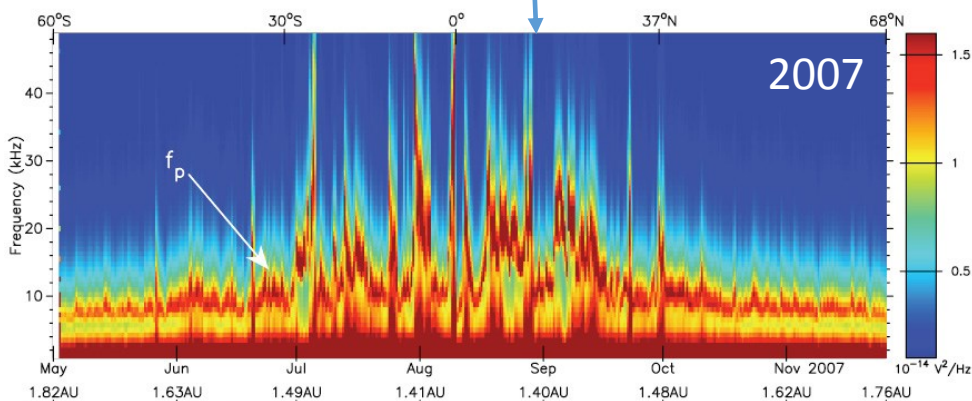
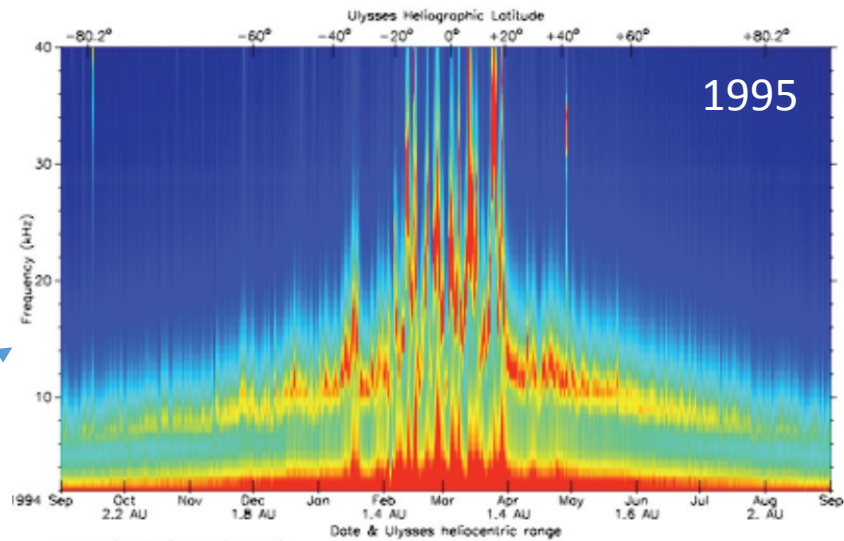
Issautier et al., 1998

- QTN in 4-D solar wind

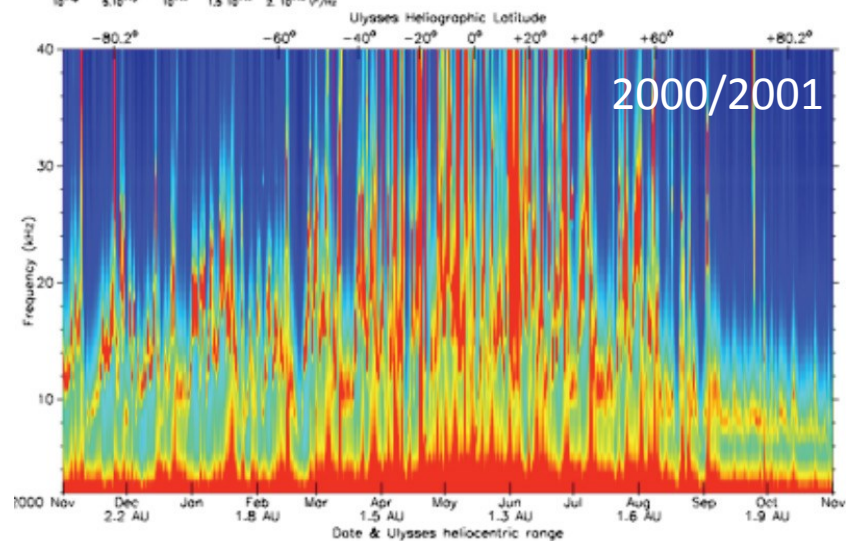


Ulysses/URAP

Solar cycle minimum



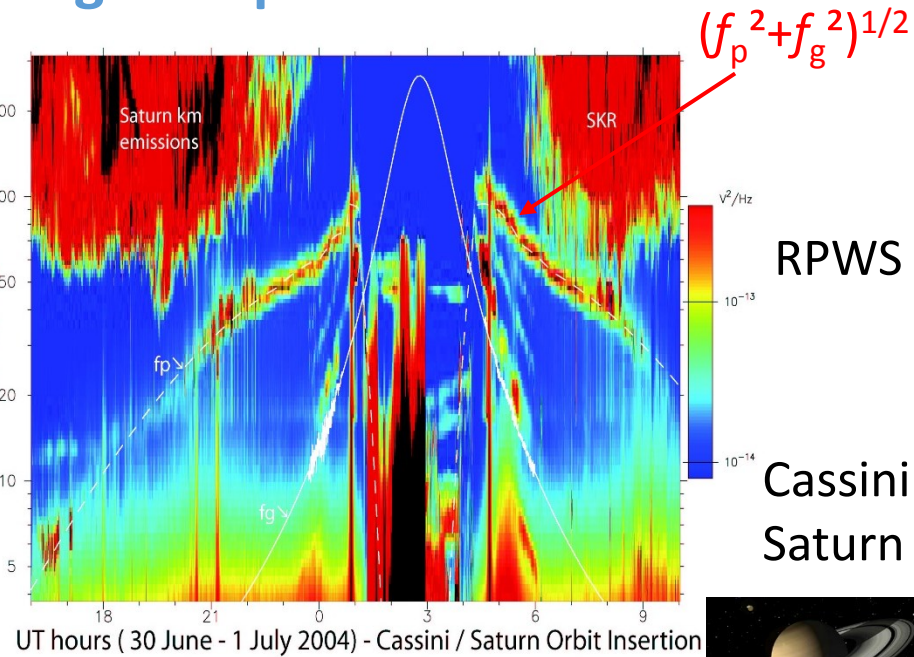
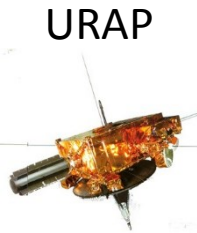
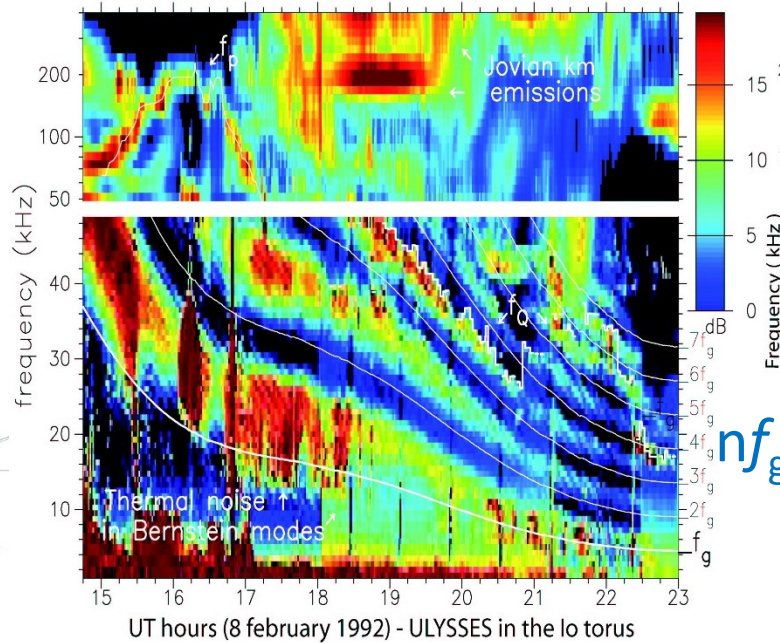
Issautier et al., 1998, 2008



Solar cycle maximum

QTN in planetary magnetospheres

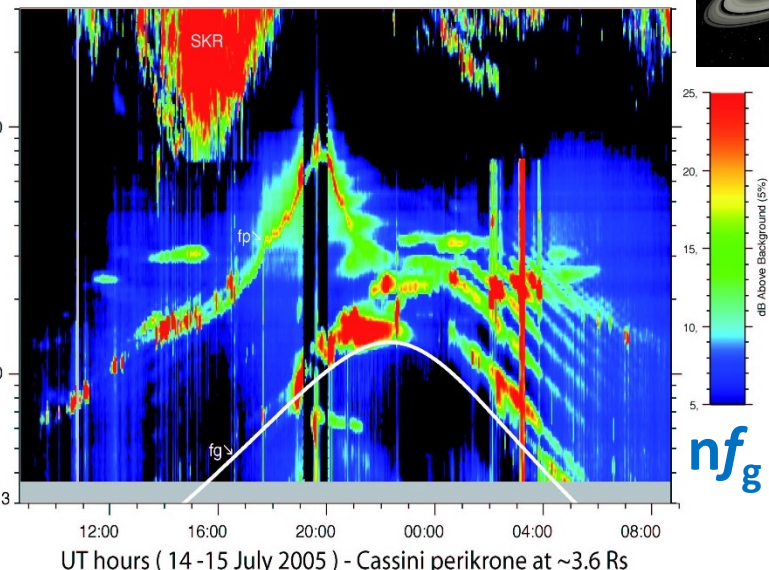
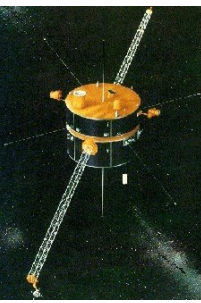
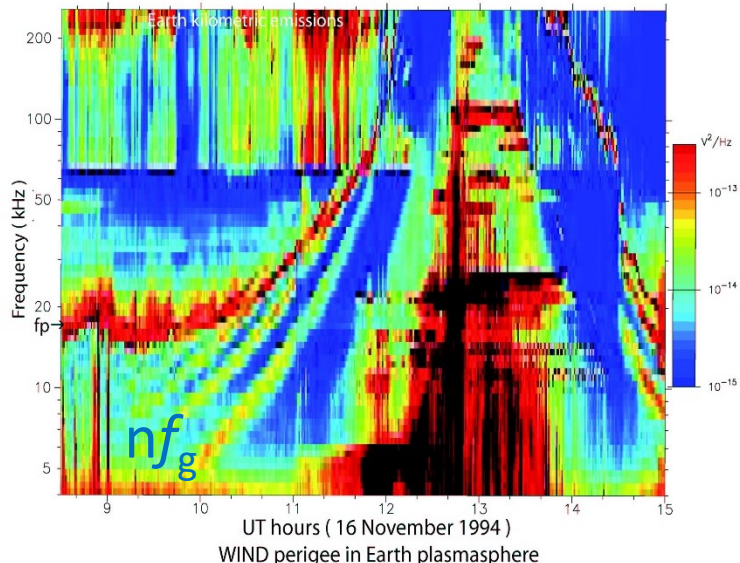
Ulysses
Jupiter



RPWS
Cassini
Saturn



Wind
Earth



WAVES

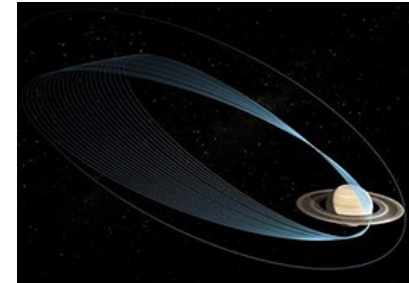
Moncuquet et al., 2006 (PRE VI)

N. Meyer-Vernet 8/11/2017

- Future

➤ **Ionospheres**

- Saturn's ionosphere (Cassini grand finale 2017)
Lecacheux et al. 2018
- Earth's ionosphere CubeSats projects



❖ Debye length \sim cm \lesssim antenna radius

Antenna impedance and QTN: integrals over \mathbf{k} involving the antenna current distribution in Fourier space

- Current distribution

$$|\mathbf{k} \cdot \mathbf{J}| = \left| \frac{4 \sin^2(k_{\parallel} L/2)}{k_{\parallel} L} J_0(k_{\perp} a) \right| \Rightarrow J_0 \neq 1$$

radius of the antenna

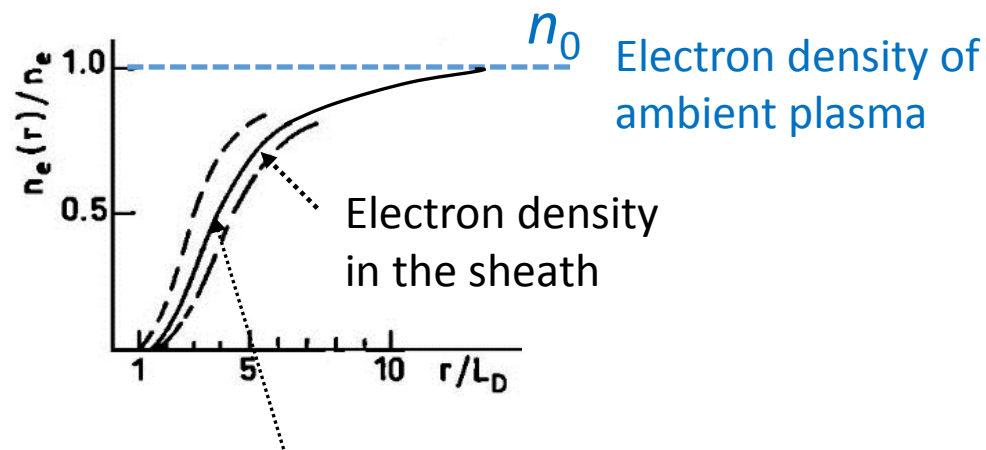
$k \sim 1/L_D$

Reactive antenna impedance \Rightarrow changes the receiver gain

- Charging governed by ambient electrons \Rightarrow Antenna's potential is negative
 \Rightarrow Debye sheath with depleted electrons $> L_D$ around antenna

$\Rightarrow f_p$ in the sheath $< f_p$ in the plasma

\Rightarrow at $f < f_{p(plasma)}$ there is a region in the sheath where $f = f_{p(sheath)}$



Example: where $n/n_0 = 0.5$,
 we have $f = f_p$ at $f = 0.5^{1/2} f_{p0}$

Plasma resonances in the sheath increase QTN by orders of magnitude
N. M-V et al. 1977, 1978 (calculated and observed)

- Future

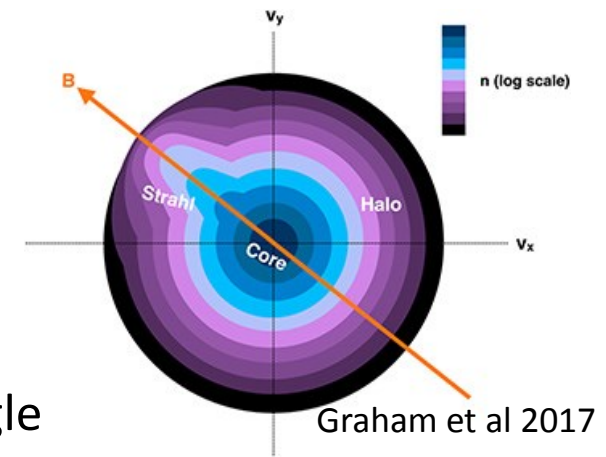
- Inner solar wind and corona

- ❖ Anisotropy of the velocity distributions

Strahl: mirror force (focusing \Rightarrow beam-like) + pitch-angle scattering (collisions + ..)

- Expected to decrease with distance at low and medium speeds [Stverak et al. 2009](#)
- Expected to increase with distance at higher speeds ($v \gtrsim 5 v_{th}$) [Horaites et al. 2017](#)

Should affect QTN near the f_p peak



Parker Solar Probe / FIELDS ([Bale et al. 2016](#),
[Pulupa et al. 2017](#))

